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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **47079-0119**First Inventor **David K. Locke**Title **SLOT MACHINE WITH UNIFIED REEL SYMBOLS**Express Mail Label No. **EK153520765US filed November 6, 2001****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **13**]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D Invention
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets **8**]
5. ☒ Oath or Declaration [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

**Accompanying Application Parts**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other .....

**17. If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_, filed  
Prior application Information: Examiner \_\_\_\_ Group/Art Unit \_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ New correspondence address below

NAME	Michael J. Blankstein				
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	800 South Northpoint Boulevard				
CITY	Waukegan	STATE	IL	ZIP CODE	60085
COUNTRY	USA	TELEPHONE	(773) 961-1480	FAX	(773) 961-1237
Name (Print/Type)	Michael J. Blankstein			Registration No. (Attorney/Agent)	37,097
Signature	<i>Michael Blankstein</i>			Date	November 6, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 5px 0 0 40px;">Patent fees are subject to annual revision</p>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	November 6, 2001
		First Named Inventor	David K. Locke
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$) <b>1,094</b>	Attorney Docket Number	<b>47079-0119</b>

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <b>232409/(0119)</b></p> <p>Deposit Account Name <b>WMS Gaming Inc.</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p><b>3. 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unintentional	_____	142	1,280	242	640	Utility issue fee (or reissue)	_____	143	460	243	230	Design issue fee	_____	144	620	244	310	Plant issue fee	_____	122	130	122	130	Petitions to the Commissioner	_____	123	50	123	50	Processing fee under 37 CFR 1.17(q)	_____	126	180	126	180	Submission of Information Disclosure Statement	_____	581	40	581	40	Recording each patent assignment per property (times number of properties)	<b>40</b>	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	_____	149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	_____	179	740	279	370	Request for Continued Examination (RCE)	_____	169	900	169	900	Request for expedited examination of a design application	_____	Other fee (specify) _____					_____	<b>SUBTOTAL (3)</b>					<b>(\$)<u>40</u></b>
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<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	<b>Michael J. Blankstein</b>	Registration No. (Attorney/Agent)	<b>37,097</b>
Signature	<i>Michael Blankstein</i>	Telephone	<b>(773) 961-1480</b>
		Date	<b>November 6, 2001</b>